GOVERNMENT OF INDIA
MINISTRY OF MICRO, SMALL & MEDIUM ENTERPRISES
OFFICE OF THE DEVELOPMENT COMMISSIONER
(MSME)

SCHEME FOR NATIONAL AWARDS - 2007 TO
MICRO, SMALL AND MEDIUM ENTERPRISES FOR
OUTSTANDING EFFORTS IN ENTREPRENEURSHIP

1. OBJECTIVE

The Micro, Small and Medium Enterprises (MSMEs) in India have seen a vast development in the last five decades. The MSMEs have registered tremendous growth as also progress in terms of quality production, exports, innovation, product development and import substitution, very much beyond the expected objectives of setting up MSMEs by the planners of industrial production base in the country. Entrepreneurial efforts have made it possible to produce number of items, which hitherto were imported. In quite a few cases new variants so produced are having additional attributes than their original versions and are capable of solving a multitude of user problems.

This all has become possible owing to the ambitious and visionary spirit of entrepreneurs of MSMEs.

Recognizing the achievements of successful entrepreneurs in MSMEs, Government has decided to encourage such entrepreneurs by giving national awards to Micro, Small and Medium Enterprises for outstanding efforts in entrepreneurship.

2. AWARD

Under the scheme, details of awards are as under:

(I) National Award for outstanding Entrepreneurship in Micro & Small Enterprises engaged in manufacturing.

1. First National Award : Rs 1,00,000/- cash prize, a Trophy and a Certificate
2. Second National Award : Rs 75,000/- cash prize, a Trophy and a Certificate
3. Third National Award : Rs 50,000/- cash prize, a Trophy and a Certificate
4. Special National Award to outstanding Woman Entrepreneur : Rs 1,00,000/- cash prize, a Trophy and a Certificate
5. Special National Award to outstanding SC/ST Entrepreneur : Rs 1,00,000/- cash prize, a Trophy and a Certificate
6. Special National Award to outstanding Entrepreneur from NER including Skikim : Rs 1,00,000/- cash prize, a trophy and a certificate

(II) National Award for outstanding Entrepreneurship in Micro & Small Enterprises rendering services.

1. First National Award : Rs 1,00,000/- cash prize, a Trophy and a Certificate
2. Second National Award : Rs 75,000/- cash prize, a Trophy and a Certificate
(III) National Award for outstanding Entrepreneurship in Medium Enterprises engaged in manufacturing.

1. First National Award: Rs. 1,00,000/- cash prize, a Trophy and a Certificate
2. Second National Award: Rs. 75,000/- cash prize, a Trophy and a Certificate

(IV) A Special Recognition Award to those MSMEs scoring marks above 80 percent and (50 percent in case of North Eastern Regions including Sikkim) will be given with a Cash prize of Rs. 20,000/- each, a Certificate and a Trophy at the State Level Function to be organized by Director, MSME-DI.

The awards will be presented in a public function.

3. PERIODICITY & ELIGIBILITY

The Awards will be given for every calendar year to deserving entrepreneurs managing Micro, Small and Medium Enterprises having permanent registration/have filed Entrepreneurs Memorandum with the authorities notified by respective State Governments/UT Administration in accordance with the provisions contained in the Micro, Small and Medium Enterprises Development (MSMED) Act, 2006, which has since come into force from 2 October 2006. The MSMEs should have been in continuous production/servicing at least during last four years.

4. CRITERIA

The evaluation for Award is to be done on the basis of and on the Criteria & Proforma for Evaluation and Awarding Marks Form X & X-I (for MSEs rendering services) specifically designed for the purpose. The critical areas include:

1. Rate of growth of MSME based upon investment, employment, production & profit
2. Use & choice of technology
3. Export
4. Innovation/diversification
5. Location of the MSME
6. Vendor Development System
7. Manufacturing practices & in-house testing/on-line inspection
8. Quality control standards
9. Consumer Services
10. Pollution control measures
11. Welfare measures
12. Packaging standards

5. COMPOSITION OF STATE/UT LEVEL SELECTION COMMITTEE (SLSC)

The SLSC will consist of following members:

(i) Secretary/Commissioner of Industries State/UT, - Chairman
(ii) Member of Parliament (M.P.) from the State/UT nominated by the concerned State Government, - Member
(iii) Director of Industries of State/UT, - Member
(iv) Representative of Entrepreneurship Development Institute (EDI) in the State to be nominated by the State Government. - Member
(v) A representative of one of the lead Banks,

(vi) Representative of one of the State-Level Micro & Small Enterprise Association to be nominated by the State Government.

(vii) Representative of one of the State-Level Medium Enterprise Association to be nominated by the State Government.

(viii) Director, MSME-DI

State Level Selection Committee after proper screening is to select five best MSMEs in order of merit (benchmark 60 percent and 50 percent in case of enterprise from SC/ST and NER including Sikkim of marks details of which given in Form X & X-I enclosed) including at least one each from SC/ST and a woman entrepreneur for the consideration of the National Level selection Committee. Recommendations made by the State/UT Level Selection Committee that do not conform to the above stipulation will not be considered. MSMEs should be assessed based on the data/information submitted by the entrepreneur after verification.

For the purpose of evaluation by the State/UT Level Selection Committee, the Evaluation Criteria for awarding marks (Form X & X-I) is enclosed. It is expected that recommendations of the State/UT Level Selection Committee on prescribed Application format should also accompany the break-up of marks on Form ‘X & X-I’ (duly completed).

Minimum qualifying marks as per criteria for consideration by the National Level Selection Committee for any type of Award will be 60 percent and 50 percent in case of enterprise from SC/ST and NER including Sikkim. There will be no bar for an Awardee to be nominated or considered for a higher Award in the subsequent year. The Awardees should not be nominated or considered for the same or lower Award in the subsequent year(s).

State/UT Level Selection Committee while recommending the names for National Awards would institute such inquiries as may be necessary to satisfy themselves that the entrepreneur has been abiding by all statutory requirements in vogue, is maintaining the proper documentation and is also not involved in any economic/other offence for which enquiry/legal action is pending. The MSME status of the enterprise for the Award year be specifically verified and certified keeping in view the investment limit permissible in plant & Machinery as also other norms in vogue and in accordance with the provisions contained in the Micro, Small and Medium Enterprises Development (MSMED) Act, 2006, which has since come into force from 2 October 2006.

The State Level Selection Committee may send its recommendations along with the applications in duplicate to the Office of the Development Commissioner (MSME), Ministry of MSME, Govt. of India, 7th Floor, ‘A’ Wing, Nirman Bhawan, New Delhi-110108 latest by 30th April, 2008.

6. COMPOSITION OF NATIONAL LEVEL SELECTION COMMITTEE (NLSC)

NLSC will consist of following Members.

(i) Additional Secretary & Development Commissioner (MSME), Ministry of MSME, Govt. of India, Chairman

(ii) Two Secretaries, (Industries) of the State Govt. to be nominated by Govt. of India(by rotation)

(iii) A representative of the Reserve Bank of India or SIDBI or Nationalized Banks as nominated by the Govt. of India

(iv) Representative of Bureau of Indian Standards (BIS)

(v) Representative of Department of Science & Technology
(vi) Representative of Director General of Foreign Trade (DGFT)/Ministry of Commerce  Member
(vii) President, Federation of Associations of Small Industries of India (FASII)  Member
(viii) President, Laghu Udyog Bharti  Member
(ix) President, Indian Council of Small Industries, Kolkata  Member
(x) Additional Industrial Adviser O/o DC (MSME)  Member Convener

NLSC will select the awardees on the basis of merit.

7. METHODOLOGY

For purposes of selecting enterprises for consideration for awards, the following procedure will be observed:

(i) The eligible Enterprises will send their nominations in the prescribed proforma in duplicate (with original/downloaded only on the prescribed form) to Director, MSME-DI (formerly SISI) of the State where their MSMEs is registered/have filed Entrepreneurs Memorandum (EM).

(ii) State Level Selection Committee will select MSME for each of the Award-2007 and award marks on the Criteria proforma (Form X & X-I) enclosed.

(iii) The recommendations of the State Level Selection Committee (SLSC) received without break-up of marks on Form X & X-I and those on Application form will not be considered by the National Level Selection Committee (NLSC).

(iv) The applications will further be scrutinized by the technical group of the office of DC(MSME) for evaluating the mark for submitting before National Level Selection Committee for selecting the awardees.

(v) Qualifying marks as per criteria for consideration by the National Level Selection Committee will be 60% and (50% in case of enterprise from SC/ST and NER including Sikkim).

8. PRIVILAGES

The winner of the Awards shall have the privilege of allowing their employees to wear labels, pins, ties or other distinctive badges with the symbol indicating the Award with the year of the award inscribed on it. The entrepreneurs could also use this symbol on their letterheads or in any advertisement issued by them along with the year of the Award.

9. TO WHOM TO APPLY

The eligible Enterprises will send their nominations in the prescribed proforma in duplicate to Director, MSME-DI of the State where their MSME is registered/have filed Entrepreneurs Memorandum. The application could also be routed to Convener through Director of Industries of State/General Manager, District Industries Centre by ensuring that it reaches to the Convener by the last date of application i.e. 30th March 2008.

One copy of all the applications of MSMEs on the prescribed proforma may be sent along with break-up of Marks on Form ‘X X-I’ duly completed with the recommendations of the State Level Selection Committee to the Development Commissioner (MSME) Ministry of MSME, 7th Floor, ‘A’ Wing, Nirman Bhavan, New Delhi-110 108 latest by 30th April 2008. (Visit Website: www.dcmsme.gov.in)
SCHEME FOR NATIONAL AWARDS - 2007 FOR QUALITY IN SELECTED PRODUCTS IN MICRO & SMALL ENTERPRISES (MSEs)

1. OBJECTIVE

The Micro and Small Enterprises (MSEs) in India have seen a vast development in the last five decades. The MSEs have registered tremendous growth as also the progress in terms of quality production, exports, innovation, product development and import substitution, very much beyond the expected objectives of setting up MSEs by the planners of industrial production base in the country. Entrepreneurial efforts have made it possible to produce a number of items, which hitherto were imported. In quite a few cases new variants so produced are having additional attributes than their original versions and are capable of solving a multitude of user problems.

This all has become possible owing to the ambitious and visionary spirit entrepreneurs of MSEs.

Government of India, Ministry of Micro, Small & Medium Enterprises (MSME) has decided to encourage such entrepreneurs by giving National Awards for selected products in MSEs with the following objectives:

(i) To encourage MSE to produce quality products conforming to national and international standards.
(ii) To propagate and merit a culture of quality consciousness amongst a vast section of MSE.
(iii) To instill a sense of confidence in MSE's products with the domestic consumers and to enhance the image of Indian Products in export market.
(iv) To update quality level competence of MSE and motivate them to achieve higher levels of competitive advantage by quality production.

2. AWARDS

The Awards will be given once in a calendar year for the selected Product Groups. One Award will be given for each Product Group covered in the list of Select Product Groups for the year of Award.

1. National award for selected products in Micro & Small Enterprises:
   Rs. 1,00,000/- a Cash prize, a Certificate and a Trophy.

2. A Special Recognition Award to those MSEs scoring marks above 80 percent and (50 percent in case of North Eastern Region including Sikkim) will be given with a Cash prize of Rs. 20,000/- each, a Certificate and a Trophy at the State Level Function to be organized by Director, MSME-DI.
3. **PERIODICITY & ELIGIBILITY**

The Awards will be given for every calendar year to deserving entrepreneurs managing Micro & Small Enterprises having permanent registration/have filed Entrepreneurs Memorandum with the authorities notified by respective State Governments/UT Administration in accordance with the provisions contained in the Micro, Small and Medium Enterprises Development (MSMED) Act, 2006, which has since come into force from 2 October, 2006. The MSEs should have been in continuous production during last four years.

4. **CRITERIA**

The evaluation for Award is to be done on the basis of and on the Criteria & Proforma for Evaluation and Awarding Marks (Form Y) specifically designed for the purpose. The critical areas include:

1. Inspection/testing of raw materials
2. Energy Conservation
3. Manufacturing practices & in-house testing/ on-line inspection
4. Quality control standards
5. Consumer Services
6. Manpower Development for Quality Assurance
7. Exports
8. Pollution control measures
9. Welfare measures
10. Packaging standards
11. Details of Investment/Employment/production/profitability
12. Location of the unit

5. **COMPOSITION OF STATE/UT LEVEL SELECTION COMMITTEE (SLSC)**

The SLSC will consist of following members.

(i) Secretary (Industries) of the State/UT - Chairman
(ii) Director of Industries of the State/UT - Member
(iii) Representative of the Bureau of Indian Standards (BIS) in the State - Member
(iv) A representative from one of the National Level Council of Scientific & Industrial Research (CSIR) Labs to be nominated by the chairman, - Member
(v) Director, Regional Testing Center of the region - Member

(vi) President of the State Level Apex Association or his nominee - Member

(vii) Two or three representatives from State Level Industry Associations (to be nominated by the Chairman) - Member

(viii) Representative of Consumer Association (if any, to be nominated by the Chairman) - Member

(ix) Director, MSME-DI - Member Convener

State Level Selection Committee while recommending the names for National Awards would institute such inquiries as may be necessary to satisfy themselves that the enterprise is an MSE from the point of view of investment in plant and machinery and the entrepreneur has been abiding by all statutory requirements in vogue and is maintaining proper documentation and is also not involved in any economic or other offences for which inquiry/legal action is pending.

A Committee consisting of the Representative(s) of Director, MSME-DI and a Representative(s) of Directorate of Industries of the State/UT (on behalf of the State/UT Selection Committee) may visit the MSE to verify the information furnished in the application form including details on technology, performance, quality, Enterprises status, growth rate etc. and prepare a report for consideration of State Level Selection Committee while finalizing its recommendations.

The State Level Selection Committee may send its recommendations along with the applications in duplicate to the Office of the Development Commissioner (MSME), Ministry of MSME, Govt. of India, 7th Floor, ‘A’ Wing, Nirman Bhawan, New Delhi-110108 latest by 30th April, 2008.

7. COMPOSITION OF NATIONAL LEVEL SELECTION COMMITTEE (NLSC)

NLSC will consist of following member

(i) Additional Secretary & Development Commissioner (MSME) - Chairman

(ii) Secretary Industries Govt. of four States (representing each region by rotation) - Member

(iii) Chairman, National Small Industries Corporation (NSIC) - Member

(iv) Director General, National Productivity Council (NPC) or his nominee - Member

(v) Director General, Council of Scientific & Industrial Research (CSIR) or his nominee - Member

(vi) Director General, Bureau of Indian Standards (BIS) or his nominee - Member

(vii) Managing Director, Small Industries Development Bank of India (SIDBI) or his nominee - Member

(viii) President, Federation of Associations of Small Industries of India (FASII) - Member

(ix) President, Federation of Indian Micro & Small & Medium Enterprises (FISME) - Member

(x) President, Laghu Udyog Bharti - Member

(xi) Additional Industrial Adviser o/o DC (MSME) - Convener
7. METHODOLOGY

For purposes of selecting enterprises for consideration for awards, the following procedure will be observed:

(i) The eligible Enterprises will send their nominations in the prescribed proforma in duplicate (with original/duplicate only on the prescribed form) to Director, MSME-DI of the State where their MSEs is registered/have filed Entrepreneurs Memorandum (EM).

(ii) State Level Selection Committee will select MSE for each of the Product Groups selected for the Award-2007 and award marks on the Criteria proforma (Form Y) enclosed.

(iii) The recommendations of the State Level Selection Committee (SLSC) received without break-up of marks on Form Y and those on Application form will not be considered by the National Level Selection Committee (NLSC).

(iv) The applications will further be scrutinized by the technical group of the office of DC(MSME) for evaluating the mark for submitting before National Level Selection Committee for selecting the awardees.

(v) Qualifying marks as per criteria for consideration by the National Level Selection Committee will be 60% and (50% in case of NER including Sikkim). There will be no bar for an earlier awardees to be nominated again for same or different product included in the list of Product Groups selected for the year of Award.

8. PRIVILEGES

The winner of the Awards shall have the privilege of allowing their employees to wear labels, pins, ties or other distinctive badges with the symbol indicating the Award with the year of the award inscribed on it. The entrepreneurs could also use this symbol on their letterheads or in any advertisement issued by them along with the year of the Award.

9. TO WHOM TO APPLY

The eligible Enterprises will send their nominations in the prescribed proforma in duplicate to Director, MSME-DI of the State where their MSEs is registered/have filed Entrepreneurs Memorandum. The application could also be routed to Convener through Director of Industries of State/General Manager, District Industries Centre by ensuring that it reaches to the Convener by the last date of application i.e 30th March 2008.

One copy of all the applications of MSEs on the prescribed proforma may be sent alongwith break-up of Marks on Form ‘Y’ duly completed with the recommendations of the State Level Selection Committee to the Development Commissioner (MSME) Ministry of MSME, 7th Floor, ‘A’ Wing, Nirman Bhavan, New Delhi-110 108 latest by 30th April 2008. (Visit Website: www.dcmsme.gov.in)
GOVERNMENT OF INDIA
MINISTRY OF MICRO, SMALL & MEDIUM ENTERPRISES
OFFICE OF THE DEVELOPMENT COMMISSIONER (MSME)

SCHEME FOR NATIONAL AWARDS - 2007 FOR
RESEARCH & DEVELOPMENT EFFORTS IN
MICRO, SMALL AND MEDIUM ENTERPRISES (MSMEs)

1. OBJECTIVE

The Micro, Small and Medium Enterprises (MSMEs) in India have seen a vast development in the last five decades. The MSMEs have registered tremendous growth as also the progress in terms of quality production, exports, innovation, product development and import substitution, very much beyond the expected objectives of setting up MSMEs by the planners of industrial production base in the country. Entrepreneurial efforts have made it possible to produce number of items, which hitherto were imported. In quite a few cases new variants so produced are having additional attributes than their original versions and are capable of solving a multitude of user problems.

This all has become possible to encourage such in-house R&D efforts and promote this spirit in larger interest of qualitative development of MSMEs.

2. AWARDS

The Awards will be of two categories, details of which are as under.

1. National award for Research & Development Efforts in Micro & Small Enterprises:
   (1) First National Award Rs. 1,00,000/- Cash Prize, a Certificate and a Trophy
   (2) Second National Award Rs. 75,000/- Cash Prize, a Certificate and a Trophy.

2. National award for Research & Development Efforts in Medium Enterprises:
   (1) First National Award Rs. 1,00,000/- Cash Prize, a Certificate and a Trophy
   (2) Second National Award Rs. 75,000/- Cash Prize, a Certificate and a Trophy

3. A Special Recognition Award to those MSMEs scoring marks above 80 percent and (50 percent in case of North Eastern Region including Sikkim) will be given a Cash prize of Rs. 20,000/- each, a Certificate and a Trophy at the State Level Function to be organized by Director, MSME-D1.

3. PERIODICITY & ELIGIBILITY

The Awards will be given for every calendar year to deserving entrepreneurs managing Micro, Small and Medium Enterprises having permanent registration / have filed Entrepreneurs Memorandum with the authorities notified by respective State Governments/UT Administration in accordance with the provisions contained in the Micro
Small and Medium Enterprises Development (MSMED) Act, 2006, which has since come into force from 2 October 2006. The MSMEs should have been in continuous production during last four years.

4. CRITERIA

The evaluation for Award is to be done on the basis of and on the Criteria & Proforma for Evaluation and Awarding Marks (Form Z) specifically designed for the purpose. The critical areas include:

(i) Nature of R&D achievement.
(ii) Infrastructure evolved for in-house R&D effort.
(iii) Economic potential of the R&D project realized/expected in terms of resource utilization, import substitution, export potential, energy conservation, environmental protection, cost reduction and other advantages.
(iv) Status of commercialization project developed.
(v) Detail of patents/design copyright obtained or applied for.
(vi) Societal impact/contribution.
(vii) Growth trends of the MSMEs.

5. COMPOSITION OF STATE LEVEL SELECTION COMMITTEE (SLSC)

The State Level Selection Committee will consist of—

(i) The Secretary, Department of Industries of State/U.T. Chairman
(ii) Director of Industries of State/U.T. Member
(iii) Director, Council of Scientific & Industrial Research (CSIR) Laboratory of the State/neighbouring region. Member
(iv) Director, National Productivity Council of the State. Member
(v) Director of the Regional/Govt. Engg. College Member
(vi) Scientist in-charge of Polytechnoology Transfer Centre in the State Member
(vii) Representative of State Level Small Industries Association. Member
(viii) Director, MSME-DI Member Convener

SLSC will scrutinize the applications and appraise the claims made by the MSMEs in respect its in-house R&D achievement (with reasonable account displaying evolution of R&D performance in respect of items produced/
developed) and other particulars and evaluate the same based on the criteria prescribed in Form Z. Qualifying marks as per criteria for consideration by the National Level Selection Committee will be above 60% and 50% in case of Entrepreneur from NER including Sikkim.

SLSC may institute such inquiries as may be necessary to satisfy themselves that at the enterprise is an MSME from the point of view of investment in plant and machinery as per limit norms in vogue and notification of National Board & Advising Committee, (b) it is actually in operation and the entrepreneur has been abiding by all statutory obligations and requirements with proper documentation and the entrepreneur/MSME is not in any economic/other offence for which an enquiry/legal action is pending.

A Committee consisting of the Representative of Director, MSME-DI (formerly Small Industries Service Institute) and a Representative of the Directorate of Industries of the State/UT. may visit the MSME to verify (on behalf of Selection Committee) the information furnished in the application form including details of R&D efforts/achievements, (with reasonable account displaying evolution of R&D performance) technology, performance, quality, MSME status and growth rate/trend etc. before recommendation is formulated.

The State Level Selection Committee may send its recommendations along with one copy of the application form to the Office of the Development Commissioner (MSME), Ministry of MSME, Govt. of India, 7th Floor, ‘A’ Wing, Nirman Bhawan, New Delhi-110108 latest by 30th April, 2008.

6. COMPOSITION OF NATIONAL LEVEL SELECTION COMMITTEE (NLSC)

The National Level Selection Committee will consist of —

(i) Additional Secretary & Development Commissioner, (MSME) Ministry of MSME, Govt. of India. Chairman

(ii) Two Secretaries, Department of Industries of State Govt. to be nominated by Govt. of India. Member

(iii) Director General, National Productivity Council or his nominee. Member

(iv) Director General, Council of Scientific & Industrial Research (CSIR) or his nominee. Member

(v) Nominee of the Secretary, Department of Science & Technology (DST). Member

(vi) Managing Director, National Research Development Corporation (NRDC), or his nominee. Member

(vii) Dean, Indian Institute of Technology (IIT) New Delhi. or his nominee. Member

(viii) Managing Director, Small Industries Development Bank of India (SIDBI), or his nominee. Member

(ix) President, Laghu Udyog Bharti. Member
7. METHODOLOGY

For purposes of selecting enterprises for consideration for awards, the following procedure will be observed:-

(i) The eligible Enterprises will send their nominations in the prescribed proforma in duplicate (with original/downloaded only on the prescribed form) to Director, MSME-DI of the State where their MSMEs is registered/have filed Entrepreneurs Memorandum (EM).

(ii) State Level Selection Committee will select MSME for the Award- 2007 and award marks on the Criteria proforma (*Form Z*) enclosed.

(iii) The recommendations of the State Level Selection Committee (SLSC) received without break-up of marks on Form Z and those on Application form will not be considered by the National Level Selection Committee (NLSC).

(iv) The applications will further be scrutinized by the technical group of the office of DC (MSME) for evaluating the mark for submitting before National Level Selection Committee for selecting the awardees.

(v) Qualifying marks as per criteria for consideration by the National Level Selection Committee will be 60% and (50% in case of NER including Sikkim). There will be no bar for an earlier awardee to be nominated or considered again for higher level Award.

8. PRIVILEGES

The winner of the Awards shall have the privilege of allowing their employees to wear labels, pins, ties or other distinctive badges with the symbol indicating the Award with the year of the award inscribed on it. The entrepreneurs could also use this symbol on their letterheads or in any advertisement issued by them along with the year of the Award.

9. TO WHOM TO APPLY

The eligible Enterprises will send their nominations in the prescribed proforma in duplicate to Director, MSME-DI of the State where their MSMEs is registered/ filed Entrepreneurs Memorandum. The application could also be routed to Convener through Director of Industries of State/General Manager, District Industries Centre by ensuring that it reaches to the Convener by the last date of application ie 30th March 2008.

One copy of all the applications of MSMEs on the prescribed proforma may be sent alongwith break-up of Marks on Form ‘Z’ duly completed with the recommendations of the State Level Selection Committee to the Development Commissioner (MSME) Ministry of MSME, 7th Floor, ‘A’ Wing, Nirman Bhavan, New Delhi-110 108 latest by 30th April 2008. (Visit Website:- www.dcmsme.gov.in)